



2623  
12/4/02

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Braudaway et al.

Confirmation No.:3310

Serial No.: 09/931,210

Examiner:

Filed: August 16, 2001

Group Art: 2623

For: Protecting Images With An Image Watermark

**RECEIVED**

NOV 27 2002

Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2600

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(A)**

I hereby certify that the attached correspondence comprising:


Return Postcard  
Information Disclosure Statement  
PTO 1449 Form with references

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231

On November 20, 2002

Margaret McCabe

  
(Signature of person mailing paper)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:  
Braudaway et al.

Confirmation No.:3310

Serial No.: 09/931,210

Examiner:

Filed: August 16, 2001

Group Art: 2623

For: Protecting Images With An Image Watermark

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

RECEIVED

NOV 27 2002

Technology Center 2600

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

These documents are being submitted (check only one of the next four boxes):

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- ☒ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this Box is checked, the fee box and one of the last two boxes also must be checked);

OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

Serial Number: 09/931,210

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEE

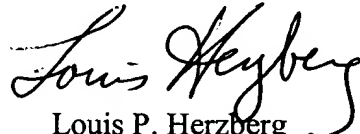
- [ ] Charge our Deposit Account No. 09-0468 the amount of \$180.00, in payment of the fee set forth in 37 CFR § 1.17(p).

CERTIFICATION

- [ ] The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.
- [ ] The undersigned certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 09-0468. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,



Louis P. Herzberg  
IBM Corp. IP Law Dept.  
T.J. Watson Research Ctr.  
P.O. Box 218  
Yorktown Heights, NY 10598  
Telephone: 914-945-2885

Date: November 20, 2002

Serial Number: 09/931,210

NOV 25 2002  
 PATENT & TRADEMARK OFFICE

|   |  |                                 |
|---|--|---------------------------------|
| <b>FORM PTO-1449 (Modified)</b><br><br><b>LIST OF PATENTS AND PUBLICATIONS<br/>FOR APPLICANT'S INFORMATION<br/>DISCLOSURE STATEMENT</b> | <b>ATTY. DOCKET NO.</b><br>YOR919960153US4 | <b>SERIAL NO.</b><br>09/931,210 |
| <b>APPLICANT: Braudaway et al.</b>  |  |                                 |
| (Use several sheets if necessary)   | <b>FILING DATE:</b><br>August 16, 2001     | <b>GROUP: 2623</b>              |

| REFERENCE DESIGNATION |    |                    |          | U.S. PATENT DOCUMENTS |       |          |                            |
|-----------------------|----|--------------------|----------|-----------------------|-------|----------|----------------------------|
| EXAMINER<br>INITIALS  |    | DOCUMENT<br>NUMBER | DATE     | NAME                  | CLASS | SUBCLASS | FILING DATE<br>(IF APPRO.) |
|                       | AA | 5,825,829          | 10/20/98 | Braudaway et al.      |       |          |                            |
|                       | AB | 5,530,759          | 6/25/96  | Braudaway et al.      |       |          |                            |
|                       | AC |                    |          |                       |       |          |                            |
|                       | AD |                    |          |                       |       |          |                            |
|                       | AE |                    |          |                       |       |          |                            |
|                       | AF |                    |          |                       |       |          |                            |
|                       | AG |                    |          |                       |       |          |                            |
|                       | AH |                    |          |                       |       |          |                            |
|                       | AI |                    |          |                       |       |          |                            |
|                       | AJ |                    |          |                       |       |          |                            |
|                       | AK |                    |          |                       |       |          |                            |

| FOREIGN PATENT DOCUMENTS |    |                    |          |         |       |          |             |
|--------------------------|----|--------------------|----------|---------|-------|----------|-------------|
|                          |    | DOCUMENT<br>NUMBER | DATE     | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
|                          |    |                    |          |         |       |          | YES NO      |
|                          | AL | PUPA 8-241403      | 09/17/96 | Japan   |       |          | X Abstract  |
|                          | AM | PUPA 63-182781     | 07/28/88 | Japan   |       |          | X Abstract  |
|                          | AN | PUPA 06-098151     | 04/08/94 | Japan   |       |          | X Abstract  |
|                          | AO |                    |          |         |       |          |             |
|                          | AP |                    |          |         |       |          |             |

| OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |   |
|--|---|
| AQ   | "Protecting publicly-available images with a visible image watermark." Gordon W. Braudaway, Karen A. Magerlein and fred Mintzer. International Business Machines Corporation. Thomas J. Watson research Center Yorktown Heights, N.Y. 10598. 126/ SPIE Vol. 2659. |
| AR   |   |
| AS   |   |
| AT   |   |
| AU   |   |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

BEST AVAILABLE COPY